Atmospheric Turbulence

Lecture 2, ASTR 289



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Please remind me to take a break at 10:45 or so



Observing through Earth's Atmosphere



- "If the Theory of making Telescopes could at length be fully brought into Practice, yet there would be certain Bounds beyond which telescopes could not perform ...
- For the Air through which we look upon the Stars, is in perpetual Tremor ...
- The only Remedy is a most serene and quiet Air, such as may perhaps be found on the tops of the highest Mountains above the grosser Clouds."

Isaac Newton



Newton was right!



Summit of Mauna Kea, Hawaii (14,000 ft)





Atmospheric Turbulence: Main Points



- The dominant locations for index of refraction fluctuations that affect astronomers are the atmospheric boundary layer and the tropopause (we will define these)
- Kolmogorov turbulence is a specific form of incompressible turbulence: derived from dimensional analysis, setting heat flux in = heat flux in turbulence
- Atmospheric turbulence (mostly) obeys Kolmogorov statistics
- Structure functions (we will define these!) derived from Kolmogorov turbulence are $\propto r^{2/3}$ where $r = |\vec{x}_1 \vec{x}_2|$
- All else will follow from these points!





- What determines the index of refraction in air?
- Origins of turbulence in Earth's atmosphere
- Energy sources for turbulence
- Kolmogorov turbulence models



Outline of lecture



- Physics of turbulence in the Earth's atmosphere
 - Location
 - Origin
 - Energy sources
- Mathematical description of turbulence

- Goal: build up to derive an expression for r_0 , based on statistics of Kolmogorov turbulence



Fluctuations in index of refraction are due to temperature fluctuations



• Refractivity of air

$$N \equiv (n-1) \times 10^{6} = 77.6 \left(1 + \frac{7.52 \, 10^{-3}}{\lambda^{2}} \right) \times \left(\frac{P}{T} \right)$$

where P = pressure in millibars, T = temp. in K, λ in microns n = index of refraction. Note VERY weak dependence on λ .

• Temperature fluctuations \rightarrow index fluctuations $\delta N \cong -77.6 \times (P/T^2) \delta T$

(pressure is constant, because velocities are highly sub-sonic -pressure differences are rapidly smoothed out by sound wave propagation)



Turbulence arises in many places (part 1)







Two examples of measured atmospheric turbulence profiles





Credit: cute-SCIDAR group, J. J. Fuensalida, PI



Turbulence within dome: "mirror seeing"



- When a mirror is warmer than dome air, convective equilibrium is reached.
- Remedies: Cool mirror itself, or blow air over it.



To control mirror temperature: dome air conditioning (day), blow air on back (night), send electric current through front Al surface-layer to equalize temperature between front and back of mirror Page 10

Turbulence arises from wind flowing over the telescope dome







Top view

Side view

Computational fluid dynamics simulation (D. de Young)

Turbulent boundary layer has largest effect on "seeing"



- Wind speed must be zero at ground, must equal v_{wind} several hundred meters up (in the "free atmosphere")
- Adjustment takes place at bottom of boundary layer
 - Where atmosphere feels strong influence of earth's surface
 - Turbulent viscosity slows wind speed to zero at ground
- Quite different between day and night
 - Daytime: boundary layer is thick (up to a km), dominated by convective plumes rising from hot ground. Quite turbulent.
 - Night-time: boundary layer collapses to a few hundred meters, is stably stratified. See a few "gravity waves." Perturbed if winds are high.

Convection takes place when temperature gradient is steep



- Daytime: ground is warmed by sun, air is cooler
- If temp. gradient between ground and ~ 1 km is steeper than "adiabatic gradient," warm volume of air raised upwards will have cooler surroundings, will keep rising
- These warm volumes of air carry thermal energy upwards



UCAR large eddy simulation of convective boundary layer

Boundary layer is much thinner at night: Day ~ 1 km, Night ~ few hundred meters





Page 14

Implications: solar astronomers vs. night-time astronomers



- Daytime: Solar astronomers have to work with thick and messy turbulent boundary layer
- Night-time: Less total turbulence, but boundary layer is still single largest contribution to "seeing"
- Neutral times: near dawn and dusk
 - Smallest temperature difference between ground and air, so wind shear causes smaller temperature fluctuations

Concept Question



 Think of as many reasons as you can why high mountain tops have the best "seeing" (lowest turbulence). Prioritize your hypotheses from most likely to least likely.



 Use analogous reasoning to explain why the high flat Atacama Desert in Chile also has excellent "seeing".



Turbulence in the "free atmosphere" above the boundary layer





Temperature gradient at low altitudes → wind shear will produce index of refraction fluctuations

Page 17

Wind shear mixes layers with different temperatures



• Wind shear \rightarrow Kelvin Helmholtz instability



If two regions have different temperatures, temperature fluctuations δT will result
T fluctuations → index of refraction fluctuations



Sometimes clouds show great Kelvin-Helmholtz vortex patterns





Page 19

Leonardo da Vinci's view of turbulence





Drawing of a turbulent flow by Leonardo da Vinci (1452–1519), who recognized that turbulence involves a multitude of eddies at various scales.



Kolmogorov turbulence in a nutshell



Big whorls have little whorls, Which feed on their velocity; Little whorls have smaller whorls, And so on unto viscosity.

L. F. Richardson (1881-1953)



Kolmogorov turbulence, cartoon





Page 22

Kolmogorov turbulence, in words



- Assume energy is added to system at largest scales "outer scale" $L_{\rm 0}$
- Then energy cascades from larger to smaller scales (turbulent eddies "break down" into smaller and smaller structures).
- Size scales where this takes place: "Inertial range".
- Finally, eddy size becomes so small that it is subject to dissipation from viscosity. "Inner scale" ${\rm I_0}$
- L_0 ranges from 10's to 100's of meters; I_0 is a few mm

Breakup of Kelvin-Helmholtz vortex



- Start with large coherent vortex structure, as is formed in K-H instability
- Watch it develop smaller and smaller substructure
- Analogous to Kolmogorov cascade from large eddies to small ones

http://www.youtube.com/watch?v=hUXVHJoXMmU



How large is the Outer Scale?



• Dedicated instrument, the Generalized Seeing Monitor (GSM), built by Dept. of Astrophysics, Nice Univ.)





Outer Scale ~ 10 - 40 m, from Generalized Seeing Monitor measurements





- F. Martin et al., Astron. Astrophys. Supp. v.144, p.39, June 2000
- Nice comparison of different methods for measuring outer scale is at http://core.ac.uk/download/files/200/4872744.pdf



Concept Question



• What do you think really determines the outer scale in the boundary layer? At the tropopause?

• Hints:







The Kolmogorov turbulence model, derived from dimensional analysis (1)



- v = velocity, ε = energy dissipation rate per unit mass,
 v = viscosity, I₀ = inner scale, I = local spatial scale
- Energy/mass = $v^2/2 \sim v^2$
- Energy dissipation rate per unit mass

$$\varepsilon \sim v^2 / \tau = v^2 / (| / v) = v^3 / |$$

 $v \sim (\varepsilon |)^{1/3}$
Energy $v^2 \sim \varepsilon^{2/3} |^{2/3}$



Kolmogorov Turbulence Model (2)



- 1-D power spectrum of velocity fluctuations: k = 2π /
 - $\Phi(k) \Delta k \sim v^2 \sim (\epsilon l)^{2/3} \sim \epsilon^{2/3} k^{-2/3}$ or, dividing by k, $\Phi(k) \sim k^{-5/3}$ (one dimension)
- **3-D power spectrum:** energy content ~ $\Phi^{3D}(k) k^2 \Delta k$
- $\Phi^{3D}(k) \sim \Phi / k^2$ or $\Phi^{3D}(k) \sim k^{-11/3}$ (3 dimensions)
- For a more rigorous calculation: V. I. Tatarski, 1961, "Wave Propagation in a Turbulent Medium", McGraw-Hill, NY

Lab experiments agree



 Air jet, 10 cm diameter (Champagne, 1978)

 Assumptions: turbulence is incompressible, homogeneous, isotropic, stationary in time



The size of the inertial range is related to the "Reynolds number"



- Outer scale of turbulence: L_o
 - Size of the largest turbulent eddy
- Inner scale of turbulence: *l*₀
 - Below this scale, collisional viscosity wipes out any remaining velocity gradients

• Can show that
$$\frac{L_0}{l_0} \approx \left(\frac{vL_0}{v}\right)^{3/4} \equiv (\text{Re})^{3/4} \gg 1$$

where the Reynolds number Re $\approx \frac{\text{inertial force}}{\text{viscous force}}$

• "Fully developed turbulence": Re > 5 x 10³ (or more)

Page 31

What does a Kolmogorov distribution of phase look like?





- A Kolmogorov "phase screen" courtesy of Don Gavel
- Shading (black to white) represents phase differences of ~1.5 µm
- You can see the many spatial scales

• $r_0 = 0.4$ meter

<u>Structure functions</u> are used a lot in AO discussions. What are they?



- Mean values of meteorological variables change with time over minutes to hours. Examples: T, p, humidity
- If f(t) is a non-stationary random variable,

 $F_t(\tau) = f(t + \tau) - f(t)$ is a difference function that is stationary for small τ , varies for long τ .

- Structure function is measure of intensity of fluctuations of f(t) over a time scale less than or equal to τ :

$$D_f(\tau) = \langle [F_t(\tau)]^2 \rangle = \langle [f(t + \tau) - f(t)]^2 \rangle$$

Can also use phase structure function (1)



$$D_{\phi}(\vec{r}) \equiv \left\langle \left| \phi(\vec{x}) - \phi(\vec{x} + \vec{r}) \right|^2 \right\rangle = \int_{-\infty}^{\infty} dx \left| \phi(\vec{x}) - \phi(\vec{x} + \vec{r}) \right|^2$$



Plot of phase at different positions



Sidebar: different units to express phase



- **Φ** Phase expressed as an angle in radians
- $\Phi = (\mathbf{k} \cdot \mathbf{x}) \omega \mathbf{t}$ for a traveling wave
- Φ in units of length? $\Phi \sim k x$, or $\Phi/k \sim x$
- Φ in units of wavelength? Φ ~ k x ~ 2π(x/λ)
 So when Φ ~ 2π, x ~ λ. "One wave" of phase.

More about phase structure function (2)







- For 1 micron light, can get close to diffraction limited image if phase is within < 1 micron
- Grey line: same phase, shifted by 1 meter
- Grey line is analytic version of structure function for Kolmogorov turbulence



Structure function for atmospheric fluctuations, Kolmogorov turbulence



• Scaling law we derived earlier: $v^2 \sim \epsilon^{2/3} |^{2/3} \sim r^{2/3}$ where *r* is spatial separation between two points

• Heuristic derivation: Velocity structure function ~ v^2

$$D_{v}(r) \equiv \left\langle \left[v(x) - v(x+r) \right]^{2} \right\rangle \propto r^{2/3} \text{ or } D_{v}(r) = C_{v}^{2} r^{2/3}$$

Here C_v² = a constant to clean up "look" of the equation.
 Describes the strength of the turbulence.

Derivation of D_v from dimensional analysis (1)



• If turbulence is homogenous, isotropic, stationary

 $D_{v}(x_{1}, x_{2}) = \alpha \times f(|x_{1} - x_{2}|/\beta)$

where *f* is a dimensionless function of a dimensionless argument.

 Dimensions of a are v², dimensions of B are length, and they must depend only on ε and v (the only free parameters in the problem).

 $[v] \sim cm^2 s^{-1}$ $[\varepsilon] \sim erg s^{-1} gm^{-1} \sim cm^2 s^{-3}$

Page 38

Derivation of D_v from dimensional analysis (2)



 The only combinations of ε and v with the right dimensions are

 $\alpha = v^{1/2} \varepsilon^{1/2}$

dimensions $cm \ s^{-1/2} \times cm \ s^{-3/2} = (cm / s)^2$ and $\beta = v^{3/4} \varepsilon^{-1/4}$ dimensions $(cm^{3/2} \ s^{-3/4}) \times (s^{3/4} cm^{-1/2}) = cm$

$$D_{v} = v^{1/2} \varepsilon^{1/2} f(|x_{1} - x_{2}| / v^{3/4} \varepsilon^{-1/4})$$

For f to be dimensionless, must have $f(x) = x^{2/3}$
 $\Rightarrow D_{v} = \varepsilon^{2/3} |x_{1} - x_{2}|^{2/3} \equiv C_{v}^{2} |x_{1} - x_{2}|^{2/3}$



What about temperature and index of refraction fluctuations?



- Temperature fluctuations are carried around passively by velocity field (incompressible fluids).
- So T and N have structure functions similar to v:

$$D_T(r) = \langle [T(x) - T(x + r)]^2 \rangle = C_T^2 r^{2/3}$$

 $D_N(r) = \langle [N(x) - N(x + r)]^2 \rangle = C_N^2 r^{2/3}$



How do you measure index of refraction fluctuations in situ?



Page 41

• Refractivity $N = (n-1) \times 10^6 = 77.6 \times (P / T)$

• Index fluctuations $\delta N = -77.6 \times (P/T^2) \delta T$ $C_N = (\partial N / \partial T) C_T = -77.6 \times (P/T^2) C_T$ $C_N^2 = (77.6P/T^2)^2 C_T^2$ • So measure δT , p, and T; calculate C_N^2

Simplest way to measure C_N² is to use fast-response thermometers



$$D_T(r) = \langle [T(x) - v(T+r)]^2 \rangle = C_T^2 r^{2/3}$$

• Example: mount fast-response temperature probes at different locations along a bar:

• Form spatial correlations of each time-series T(t)

Assumptions of Kolmogorov turbulence theory



- Medium is incompressible (speeds are highly sub-sonic)
- External energy is input on largest scales (only), dissipated on smallest scales (only)
 - Smooth cascade
- Valid only in inertial range $I << L_0$
- Turbulence is
 - Homogeneous

Questionable

- Isotropic
- In practice, Kolmogorov model works surprisingly well!







Index of refraction structure function

$$D_N(r) = \langle [N(x) - N(x + r)]^2 \rangle = C_N^2 r^{2/3}$$

• Night-time boundary layer: $C_N^2 \sim 10^{-13} - 10^{-15} m^{-2/3}$



Turbulence profiles from SCIDAR



Eight minute time period (C. Dainty, NUI)



Siding Spring, Australia

Starfire Optical Range, Albuquerque NM Page 45

Atmospheric Turbulence: Main Points



- Dominant locations for index of refraction fluctuations: atmospheric boundary layer and tropopause
- Atmospheric turbulence (mostly) obeys Kolmogorov statistics
- Kolmogorov turbulence is derived from dimensional analysis (heat flux in = heat flux in turbulence)
- Structure functions derived from Kolmogorov turbulence:

$$D_N(r) \equiv \langle [N(x) - N(x+r)]^2 \rangle \propto r^{2/3}$$
 or $D_N(r) = C_N^2 r^{2/3}$

• All else will follow from these points!



Part 2: Effect of turbulence on spatial coherence function of light



• We will use structure functions $D \sim r^{2/3}$ to calculate various statistical properties of light propagation thru index of refraction variations

 I will outline calculation in class. Reading in Section 4: Quirrenbach goes into gory detail. I will ask you to write down the full analysis in your homework.



Definitions - Structure Function and Correlation Function



Structure function: Mean square difference

$$D_{\phi}(\vec{r}) \equiv \left\langle \left| \phi(\vec{x}) - \phi(\vec{x} + \vec{r}) \right|^2 \right\rangle = \int_{-\infty}^{\infty} dx \, \left| \phi(\vec{x}) - \phi(\vec{x} + \vec{r}) \right|^2$$

• Covariance function: Spatial correlation of a function with itself

$$B_{\phi}(\vec{r}) \equiv \left\langle \phi(\vec{x} + \vec{r})\phi(\vec{x}) \right\rangle = \int_{-\infty}^{\infty} dx \ \phi(\vec{x} + \vec{r})\phi(\vec{x})$$



Relation between structure function and covariance function



$$D_{\phi}(\vec{r}) = 2 \begin{bmatrix} B_{\phi}(0) - B_{\phi}(\vec{r}) \end{bmatrix}$$
tructure function
Covariance function

- A problem on future homework:
 - Derive this relationship
 - Hint: expand the product in the definition of D_{φ} (r) and assume homogeneity to take the averages



Definitions - Spatial Coherence Function



For light wave $\Psi = \exp[i\phi(\vec{x})]$, phase is $\phi(\vec{x}) = kz - \omega t$

- Spatial coherence function of field is defined as $B_h(\vec{r}) \equiv \langle \Psi(\vec{x}) \Psi^*(\vec{x} + \vec{r}) \rangle$ Covariance for complex fn's
 - » $B_h(\vec{r})$ is a measure of how "related" the field Ψ is at one position (e.g. x) to its values at neighboring positions (say x + r).

Since $\Psi(\vec{x}) = \exp[i\phi(\vec{x})]$ and $\Psi^*(\vec{x}) = \exp[-i\phi(\vec{x})]$, $B_h(\vec{r}) = \langle \exp i[\phi(\vec{x}) - \phi(\vec{x} + \vec{r})] \rangle$



Now evaluate spatial coherence function $B_h(r)$

So



- For a Gaussian random variable $\,\mathcal X\,$ with zero mean, it can be shown that

$$\langle \exp i\chi \rangle = \exp\left(-\langle \chi^2 \rangle / 2\right)$$

$$B_{h}(\vec{r}) = \langle \exp i[\phi(\vec{x}) - \phi(\vec{x} + \vec{r})] \rangle$$
$$= \exp \left[-\langle |\phi(\vec{x}) - \phi(\vec{x} + \vec{r})|^{2} \rangle / 2 \right] \equiv \exp \left[-D_{\phi}(\vec{r}) / 2 \right]$$

• So finding spatial coherence function $B_h(r)$ amounts to evaluating the structure function for phase $D_{\phi}(r)$!

Solve for $D_{\varphi}(r)$ in terms of the turbulence strength C_N^2 (1)



- We want to know $D_{\phi}(r)$
- We will use the facts that

$$B_{h}(\vec{r}) = \exp\left[-D_{\phi}(\vec{r})/2\right]$$
$$D_{\phi}(\vec{r}) = 2\left[B_{\phi}(0) - B_{\phi}(\vec{r})\right]$$

• So we will need to know the phase covariance:

$$B_{\phi}(\vec{r}) \equiv \left\langle \phi(\vec{x}) \ \phi(\vec{x} + \vec{r}) \right\rangle$$



Solve for $D_{\varphi}(r)$ in terms of the turbulence strength C_N^2 (2)



• But $\phi(\vec{x}) = k \int_{h}^{h+\delta h} dz \times n(\vec{x}, z)$ for a wave propagating vertically (in $\overset{h}{z}$ direction) from height h to height $h + \delta h$.

• Here n(x, z) is the index of refraction.

• Hence
$$B_{\phi}(\vec{r}) = k^2 \int_{h}^{h+\delta h} dz' \int_{h}^{h+\delta h} dz'' \left\langle n(\vec{x}, z')n(\vec{x} + \vec{r}, z'') \right\rangle$$



Solve for $D_{\varphi}(r)$ in terms of the turbulence strength C_N^2 (3)



• Change variables: z = z'' - z''

• Then
$$B_{\phi}(\vec{r}) = k^{2} \int_{h}^{h+\delta h} dz' \int_{h-z'}^{h+\delta h-z'} dz \left\langle n(\vec{x},z')n(\vec{x}+\vec{r},z'+z) \right\rangle$$
$$= k^{2} \int_{h}^{h+\delta h} dz' \int_{h-z'}^{h+\delta h-z'} dz B_{N}(\vec{r},z)$$

$$B_{\phi}(\vec{r}) = k^2 \delta h \int_{h-z'}^{h+\delta h-z'} dz B_N(\vec{r},z) \cong k^2 \delta h \int_{-\infty}^{\infty} dz B_N(\vec{r},z)$$
Page 54

Solve for $D_{\varphi}(r)$ in terms of the turbulence strength C_N^2 (4)



• Now we can evaluate phase structure function $D_{\varphi}(r)$

$$D_{\phi}(\vec{r}) = 2 \left[B_{\phi}(0) - B_{\phi}(\vec{r}) \right] = 2k^{2} \delta h \int_{-\infty}^{\infty} dz \left[B_{N}(0,z) - B_{N}(\vec{r},z) \right]$$
$$D_{\phi}(\vec{r}) = 2k^{2} \delta h \int_{-\infty}^{\infty} dz \left\{ \left[B_{N}(0,0) - B_{N}(\vec{r},z) \right] - \left[B_{N}(0,0) - B_{N}(0,z) \right] \right\}$$
$$D_{\phi}(\vec{r}) = k^{2} \delta h \int_{-\infty}^{\infty} dz \left[D_{N}(\vec{r},z) - D_{N}(0,z) \right]$$



Solve for $D_{\varphi}(r)$ in terms of the turbulence strength C_N^2 (5)



$$D_{N}(\vec{r}) = C_{N}^{2} |\vec{r}|^{2/3} = C_{N}^{2} (r^{2} + z^{2})^{1/3} \text{ so}$$
$$D_{\phi}(\vec{r}) = k^{2} \delta h C_{N}^{2} \int_{-\infty}^{\infty} dz \left[(r^{2} + z^{2})^{1/3} - z^{2/3} \right]$$

$$\left(\frac{2}{5}\frac{\Gamma(1/2)\Gamma(1/6)}{\Gamma(2/3)}\right)r^{5/3} = 2.914 r^{5/3}$$

$$D_{\phi}(\vec{r}) = 2.914 \ k^2 r^{5/3} C_N^2 \ \delta h \to 2.914 \ k^2 r^{5/3} \int_0^{\infty} dh \ C_N^2(h)$$

Page 56

Skip a bunch of steps (you will write them out in your homework)



$$B_{h}(\vec{r}) = \exp\left[-D_{\phi}(\vec{r})/2\right] = \exp\left[-\frac{1}{2}\left(2.914 \ k^{2} r^{5/3} \int_{0}^{\infty} dh \ C_{N}^{2}(h)\right)\right]$$

For a slant path you can add factor (sec θ)^{5/3} to account for dependence on zenith angle θ

Concept Question: Note the scaling of the coherence function with separation, wavelength, turbulence strength. Think of a physical reason for each.



Given the spatial coherence function, calculate effect on telescope resolution



Outline of derivation:

- Define optical transfer functions of telescope, atmosphere
- Define r₀ as the telescope diameter where the two optical transfer functions are equal
 OTF_{telescope} = OTF_{atmosphere}
- Calculate expression for *r*₀



Define optical transfer function (OTF)



Page 59

 Imaging in the presence of imperfect optics (or aberrations in atmosphere): in intensity units

Image = Object
Point Spread Function
Convolved with

$$I = O \otimes PSF \equiv \int d\vec{x} \ O(\vec{x} - \vec{r}) \ PSF(\vec{x})$$

• Take Fourier Transform: $\tilde{F}(I) = \tilde{F}(O) \ \tilde{F}(PSF)$

Optical Transfer Function = Fourier Transform of PSF

 $\tilde{F}(I) = \tilde{F}(O) \times \text{OTF}$

Examples of PSF's and their Optical Transfer Functions











Next time: Derive r_0 and all the good things that come from knowing r_0



- Define r_0 as the telescope diameter where the optical transfer functions of the telescope and atmosphere are equal
- Use *r*₀ to derive relevant timescales of turbulence
- Use r₀ to derive "Isoplanatic Angle":
 AO performance degrades as astronomical targets get farther from guide star

